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02-26-04 CW
PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Naruto ENTANI

Appln. No.: 09/834,944

Confirmation No.: 5344

Filed: April 16, 2001

RECEIVED
FEB 24 2004
GROUP 3600

Docket No: Q64103

Group Art Unit: 3621

Examiner: Daniel L. Greene

For: LITERARY WORK ROYALTY ACCOUNTING METHOD, NETWORK SYSTEM THEREFOR AND RECORDING MEDIUM ON WHICH CONTROL PROGRAM THEREFOR IS RECORDED

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

1. Japanese Unexamined Patent Application Publication No. 2000-90148, published March 31, 2000.
2. Japanese Unexamined Patent Application Publication No. H11-96258, published April 9, 1999.
3. Japanese Unexamined Patent Application Publication No. H11-85502, published March 30, 1999.
4. Japanese Unexamined Patent Application Publication No. H11-53185, published February 26, 1999.

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U.S. Appln. No.: 09/834,944

5. Japanese Unexamined Patent Application Publication No. H10-51573, published February 20, 1998.
6. Japanese Unexamined Patent Application Publication No. H10-20958, published January 23, 1998.
7. Japanese Unexamined Patent Application Publication No. H10-20956, published January 23, 1998.
8. Japanese Unexamined Patent Application Publication No. H9-282157, published October 31, 1997.
9. Japanese Unexamined Patent Application Publication No. H8-54951, published February 27, 1996.
10. Japanese Unexamined Patent Application Publication No. H7-56888, published March 3, 1995
11. Japanese Unexamined Patent Application Publication No. H7-230335, published August 29, 1995.
12. Toshinari Suematsu and Hideki Imai, "A superdistribution label delivery type superdistribution system that allows for protection of user privacy," Denshi Joho Tsushin Gakkai Ronbunshi A, Japan, Institute of Electronics, Information and Communication Engineers, 25 October 1998, Vol. J81-A, No. 10, pages 1377-1385.
13. Naoya Torii, Yuji Kishima, Tsuneo Katsuyama and Koji Omori, "System architecture for superdistribution," Denshi Joho Tsushin Gakkai Gijutsu Kenkyu Hokoku (ISEC94-21), Japan, Institute of Electronics, Information and Communication Engineers, 21 September 1994, Vol. 94, No. 240, pages 59-66.
14. Kazunori Seki, Hiroyuki Sakakibara, Kenichi Okada and Atsushi Matsushita, "Proposal for a new software distribution arrangement using encryption," Joho Shori Gakkai Kenkyu Hokoku (93-IS-45), Japan, Information Processing Society of Japan, 20 July 1993, Vol. 93, No. 64, pages 19-28.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed after the later of three months from the application's filing date and the mailing date of the first Office Action on the

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 09/834,944

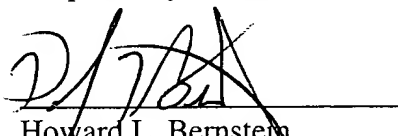
merits, but before a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), and therefore Applicant is filing concurrently herewith a Statement Under 37 C.F.R. § 1.97(e). No fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses here with a copy of a corresponding Japanese Office Action dated December 2, 2003 and an English translation of the pertinent portions thereof which cites such documents and indicates the degree of relevance found by the foreign office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,


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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: February 20, 2004



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STATEMENT UNDER 37 C.F.R. § 1.97(e)

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Alexandria, VA 22313-1450

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GROUP 3600

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

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Substitute for Form 1449 A & B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

1

of

1

Complete if Known

Application Number	09/834,944
Confirmation Number	5344
Filing Date	April 16, 2001
First Named Inventor	Naruto ENTANI
Art Unit	3621
Examiner Name	Daniel L. Greene
Attorney Docket Number	Q64103

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		US			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			
		JP ✓	2000-90148	A	03-31-2000		No
		JP ✓	H11-96258	A	04-09-1999		No
		JP ✓	H11-85502	A	03-30-1999		No
		JP ✓	H11-53185	A	02-26-1999		No
		JP ✓	H10-51573	A	02-20-1998		No
		JP ✓	H10-20958	A	01-23-1998		No
		JP ✓	H10-20956	A	01-23-1998		No
		JP ✓	H9-282157	A	10-31-1997		No
		JP ✓	H8-54951	A	02-27-1996		No
		JP ✓	H7-56888	A	03-03-1995		No
		JP ✓	H7-230335	A	08-29-1995		No

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶
	✓	Toshinari Suematsu and Hideki Imai, "A superdistribution label delivery type superdistribution system that allows for protection of user privacy," Denshi Joho Tsushin Gakkai Ronbunshi A, Japan, Institute of Electronics, Information and Communication Engineers, 25 October 1998, Vol. J81-A, No. 10, pages 1377-1385.	
	✓	Naoya Torii, Yuji Kishima, Tsuneo Katsuyama and Koji Omori, "System architecture for superdistribution," Denshi Joho Tsushin Gakkai Gijutsu Kenkyu Hokoku (ISEC94-21), Japan, Institute of Electronics, Information and Communication Engineers, 21 September 1994, Vol. 94, No. 240, pages 59-66.	
	✓	14. Kazunori Seki, Hiroyuki Sakakibara, Kenichi Okada and Atsushi Matsushita, "Proposal for a new software distribution arrangement using encryption," Joho Shori Gakkai Kenkyu Hokoku (93-IS-45), Japan, Information Processing Society of Japan, 20 July 1993, Vol. 93, No. 64, pages 19-28.	

Examiner Signature

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to indicate here if English language Translation is attached.